



# BSA/AML Compliance School

**\*In-Person, Live Stream and Virtual Seminar Recording Options Available\***

**August 22-23, 2024 ▪ 9:00am - 4:00pm both days  
Renaissance Hotel, Baton Rouge**

*Join us for this timely, two-day BSA/AML program which provides current trends and tools for today's BSA Officers and BSA team members.*

This program is designed to enhance the skills of your Bank Secrecy Act (BSA) and Anti-Money Laundering (AML) officers and support staff, your independent audit team, and any personnel responsible for managing and maintaining a strong BSA and AML program. Expand your staff's understanding and expertise in some of the most critical skills they will ever possess – skills that can protect your profits in these very challenging times of tight margins and sustained high expectations! **Exam findings indicate we need to strengthen our compliance management program!**

Patti Joyner of Financial Solutions will lead the program to discover new and improved solutions to the ever-expanding regulatory challenges. Learn from others' mistakes by reviewing the latest BSA/AML enforcement actions and how the criminals and terrorists are expanding their use of electronic payment methods, prepaid cards and just about anything else they can get their hands on! Pick up new tricks and tips to maximize your programs designed to comply with these very important issues facing every financial institution. We'll learn, we'll laugh, and we'll vent a little. Most importantly, we'll be ready to face the latest version of BSA and AML challenges with a few more effective tools!

*\*Please Note: This is not an introductory program; all attendees should have a working knowledge of BSA Compliance.*

**ABA Professional Certifications Continuing Education Credit (For In-Person & Live Stream Only)**

*This school will be submitted to ABA for CE Credit Review.*

## Topics include:

### Thursday August 22, 2024

- Review the Current BSA/AML Requirements
- BSA/AML Regulatory Program Expectations
- Foundational Pillars of BSA Compliance Programs
- The Anti-Money Laundering Act of 2020 (AML Act) Ongoing Implementation
  - Expanded Scope of the Bank Secrecy Act
  - Changes to BSA/AML/CFT Program Requirements
  - FinCEN's Beneficial Ownership Database and Financial Institution Access
  - Enforcement Priorities
  - Enhanced AML Whistleblower Regime
- Customer Identification Program (CIP)
- Customer Due Diligence (CDD)
- Commercial Customer Due Diligence, Enhanced Due Diligence
- Currency Transaction Reports (CTR) and CTR Exemptions
- Phase I and Phase II Exemption Rules
- Robust Suspicious Activity Report (SAR) Program Guidelines Effective Monitoring and Reporting Program
- Office of Foreign Asset Control (OFAC) Program
- Screening Responsibilities and Match Resolution
- HIDTA, HIFCA, PEPs, FBI Most Wanted, Countries of Concern and other Watch Lists
- Hot Spots to Watch For, Including Recent Enforcement Actions

### Friday August 23, 2024

- Regulatory Panel Discussion: Exam Hot Button Issues
  - Federal Reserve (*Invited*)
  - FDIC (*Invited*)
  - OCC (*Invited*)
  - OFI (*Invited*)
- Guest Speaker - Law Enforcement
- Elder Financial Abuse
- FinCEN Guidance Documents
- Core Money Laundering Indicators
- Money Laundering and Terrorist Financing Trends
- Effectively Fighting Fraud, Money Laundering and Terrorist Financing
- National Money Laundering Threat Assessment and Industry Trends
- Risk Assessment, to include Inherent Risk and Residual Risk
- Banking FinTechs, Marijuana Related Businesses and Other Risky Businesses
- FinCEN's Recent SAR and New Trends in Money Laundering
- Latest Cybersecurity Guidance
- Terrorist Financing Trends
- Suspicious Activity Report (SAR) Case Studies
- Continuing Risk Assessment Expectations
  - High-Risk Products and Services
  - High-Risk Geographies



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## Who Will Benefit

This program is designed for those who have a working knowledge of BSA compliance including BSA, AML, Compliance, Fraud Officers, and Auditors. Individuals with accountability for BSA Compliance will also benefit from this program, such as: retail management, risk management, operations, and employee development.

## Registration Fees

**Member Fee:** \$425 (In-Person); \$525 (Live Stream); \$850 (Recording); \$100 Add On Recording Fee with In-Person or Live Stream Registration

**Non-Member Fee:** \$625 (In-Person); \$725 (Live Stream); \$1050 (Recording); \$100 Add On Recording Fee with In-Person or Live Stream Registration

## School Instructor

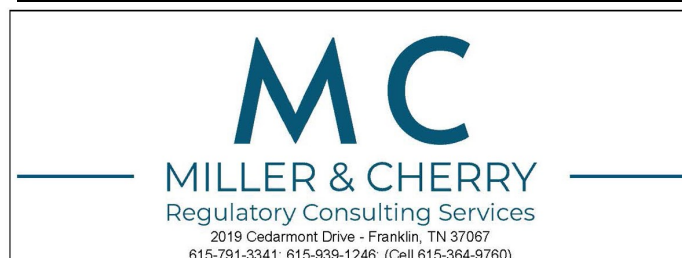


**Patti Joyner** founded Financial Solutions in 1990 to provide community financial institutions with effective solutions for the ever-increasing need for cost-effective regulatory risk management. The company provides in-house training, compliance policy and procedure development and litigation support. Patti's ability to transform complex requirements into simple, practical solutions is bound by a deep conviction that "excellence is not optional," easily translating into effective answers for community bankers. Patti firmly believes that being a community banker is all about the size of your heart, not your bank's assets! She has a thorough understanding of the current regulatory environment and is able to integrate and streamline competing priorities without compromising compliance, results, or your sanity. Patti loves people and banking - put the two together and it's inspiring! Ms. Joyner is a frequent presenter for many state and regional compliance associations and the American Bankers Association where she served as a faculty member of its prestigious Stonier Graduate School of Banking and National, Intermediate and Graduate Compliance Schools. Additionally, Patti has delivered many informative briefing/webcast series on a wide variety of compliance subjects. She loves working with bankers all across the country at large and small institutions! Patti also enjoys being a frequent speaker for various regulatory and law enforcement agencies. It was Patti's privilege to be named ABA's annual Distinguished Service Award winner for 2018 in recognition of her contribution to the banking industry across our country. One of her favorite things to do is partner with legal teams to achieve the correct resolution to complex banking litigation cases! Patti is a highly acclaimed keynote speaker, as well as a published compliance resource for many regional and national publications. Her high energy style and slightly wicked sense of humor combine to provide entertaining, effective, successful and compliant solutions that will leave a smile in your heart along with answers to your questions. She's a "boots on the ground" kind of girl, ready to rumble!

## Hotel Information

The LBA has contracted for a set number of sleeping rooms at the Renaissance Baton Rouge Hotel. We will monitor the room pickup and add rooms if available. We encourage you to make your reservations early to receive the LBA's discounted rates for this program - \$139+tax. The deadline for the room block is August 1, 2024. After that date, reservations will be made based on availability and the hotel may not be able to honor the discounted rate. To make your reservations, [click here to book online](#) or call (866) 469-5448 and mention the LA Bankers Association BSA/AML Compliance School to receive the discounted rate.

## Sponsor





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## Registration Form

### Registrant 1

Mr./Mrs./Ms. \_\_\_\_\_  
Bank \_\_\_\_\_  
Email Address \_\_\_\_\_  
Branch Street Address \_\_\_\_\_  
City, State, Zip \_\_\_\_\_  
Office Phone \_\_\_\_\_  
Cell \_\_\_\_\_

Please check:  In-Person Seminar     Live Stream  
 Virtual Seminar Recording  
 Add On Recording (For In-Person & Live Stream Only)

### Registrant 2

Mr./Mrs./Ms. \_\_\_\_\_  
Bank \_\_\_\_\_  
Email Address \_\_\_\_\_  
Branch Street Address \_\_\_\_\_  
City, State, Zip \_\_\_\_\_  
Office Phone \_\_\_\_\_  
Cell \_\_\_\_\_

Please check:  In-Person Seminar     Live Stream  
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*Please note: If you participate in-person or by live stream via Zoom, we will email a certificate to you following the program. Certificates will only be emailed to registered attendees. If you register for the recording, we will not be able to provide a certificate because we will not be able to track attendance.*

### Registration Fees

**Member Fee:** \$425 (In-Person); \$525 (Live Stream); \$850 (Recording);  
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\$100 Add On Recording Fee with In-Person or Live Stream Registration

### Payment Options

Check (Made payable to the Louisiana Bankers Association)     Visa     MasterCard     American Express  
Card # \_\_\_\_\_ Expiration Date \_\_\_\_\_  
Credit Card Billing address \_\_\_\_\_  
Name on Card (Please Print) \_\_\_\_\_  
Signature \_\_\_\_\_ Amount to be Charged on Card \$ \_\_\_\_\_

This training will be covered under SBET (Small Business Employee Training Program). Please provide an email address on the line below to receive the necessary documentation for reimbursement: \_\_\_\_\_

**\*Please Note: Recording not eligible for SBET funding.**

### Location

Renaissance Hotel  
7000 Bluebonnet Blvd.  
Baton Rouge, LA 70810

### Live Stream & Recording Orders

If you register for the live stream via Zoom, materials and meeting information will be sent to you the week before the school. If you order the on-demand recording, an email with the MP4 links will be sent to you following the school.

### Agenda (August 22-23, 2024)

8:30am Registration  
9:00am Program Begins  
12:00pm Lunch  
4:00pm Program Adjourns

### Cancellation Policy (Live Seminar)

Due to commitments we must make to secure a class, we need your help. If you must cancel your registration, please do so at least 3 business days prior to the seminar date to avoid a \$175 cancellation fee. Any registrant who does not cancel will be billed the full registration fee and sent the manual. Substitutions are welcome at no additional charge.